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Docket No. 3499-93

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GROUP 3600

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Date: September 11, 2002Name: Melissa ScanzilloSignature: Melissa Scanzillo  
Clifford Chance US LLP

Docket No. 3499-93

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**In re Application of: David LawrenceFiled: January 30, 2001Group Art Unit: 2171Serial No: 09/772,427Examiner: D. FULTSFor: AUTOMATED POLITICAL RISK MANAGEMENTCommissioner for Patents  
Washington, D.C. 20231**OFFICIAL****FAX RECEIVED**

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**GROUP 3600****SECOND PRELIMINARY AMENDMENT**

Sir:

Please amend the application as follows:

**IN THE CLAIMS:**Cancel claims 2, 6, 7, 9, 13, 15, 22, and 23.

Please replace claims 1, 3, 5, 8, 14, 16, 20, and 21 with the amended claims below. A mark-up version showing the specific amendments made to these claims is attached.

sh 1 > 1. A computer-implemented method for managing risk related to financial transactions involving a politically identified person, the method comprising the steps of:

receiving information relating to political exposure associated with a person involved in a financial transaction;

structuring the information received according to political exposure risk quotient criteria;

calculating a risk quotient using the structured information;

generating a suggested action responsive to the risk quotient and directed towards reducing risk related to an account associated with a politically exposed person, the suggested action comprising at least refusing to perform the transaction and notifying an authority; and